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**CERTIFICATE OF FACSIMILE/MAILING PURSUANT TO 37 C.F.R. §1.8**

I hereby certify that this correspondence, along with any accompanying documents, pursuant to 37 C.F.R. §1.8, are being sent via facsimile to (703) 746-9195 with a confirmation copy deposited with the United States Postal Service via First Class mail addressed to: Office of Initial Patent Examination, Filing Receipt Corrections, P.O. Box 1450, Alexandra, VA 22313-1450.

Date: August 12, 2004

By   
Beverly Fletcher

**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant(s): **Michael J. Berardi, et al.** Atty. Docket No.: **60655.1400**  
Serial No.: **10/810,473**; Confirmation No.: **9805** Examiner **TBA**  
Date Filed: **March 26, 2004** Group Art Unit: **2635**  
Title: **SYSTEM AND METHOD FOR ENCODING INFORMATION IN  
MAGNETIC STRIPE FORMAT FOR USE IN RADIO FREQUENCY  
IDENTIFICATION TRANSACTIONS**

**FIRST REQUEST FOR CORRECTION OF FILING RECEIPT DATA**

Office of Initial Patent Examination  
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P.O. Box 1450  
Alexandria, VA 22313-1450

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Sir:

Thank you for the Filing Receipt for the above-identified nonprovisional patent application.

On the Filing Receipt, please correct the errors in the Domestic Priority data. Please add the following information to the Domestic Priority data: "and to 10/340,352 01/10/2003 which claims benefit of 60/396,577 07/16/2002", as noted on page 1 of the patent specification attached.

The above corrections are noted on the enclosed copy of the original filing receipt.

We look forward to receiving a corrected Filing Receipt for this application.



Title:

**SYSTEM AND METHOD FOR ENCODING INFORMATION IN  
MAGNETIC STRIPE FORMAT FOR USE IN RADIO  
FREQUENCY IDENTIFICATION TRANSACTIONS**

Inventor:

**Michael J. Berardi, Ft. Lauderdale, Florida  
Michal Bliman, Matawan, New Jersey  
David S. Bonalle, New Rochelle, New York  
Peter D. Saunders, Salt Lake City, Utah**

Assignee: **American Express Travel Related Systems Company, Inc.**

**Related Applications**

This invention is a continuation in part of, and claims priority to U.S. Patent Application No. 10/192,488, entitled "SYSTEM AND METHOD FOR PAYMENT USING RADIO FREQUENCY IDENTIFICATION IN CONTACT AND CONTACTLESS TRANSACTIONS," filed on July 9, 2002 (which itself claims priority to U.S. Provisional Patent Application No. 60/304,216, filed July 10, 2001), and to U.S. Patent Application No. 10/340,352, entitled "SYSTEM AND METHOD FOR INCENTING PAYMENT USING RADIO FREQUENCY IDENTIFICATION IN CONTACT AND CONTACTLESS TRANSACTIONS," filed January 10, 2003 (which itself claims priority to U.S. Provisional Patent Application No. 60/396,577, filed July 16, 2002), all of which are incorporated herein by reference.

**Field of Invention**

**[0001]**

This invention generally relates to a system and method for completing a transaction, and more particularly, to completing a transaction using a proxy transaction account identifier which emulates a transaction account number in a merchant recognizable format.

Should you have any questions, please do not hesitate to contact the undersigned at the telephone number listed below.

Respectfully submitted,

Date: August 12, 2004

By   
Patrick L. Mixon  
Reg. No. 47,801

**SNELL & WILMER L.L.P.**  
One Arizona Center  
400 East Van Buren  
Phoenix, AZ 85004-2202  
Telephone: (602) 382-6274  
Facsimile: (602) 382-6070

AUG 02 2004



## UNITED STATES PATENT AND TRADEMARK OFFICE

SNELL &amp; WILMER

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APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY.DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/810,473 ✓	03/26/2004 ✓	2635 ✓	770	60655.1400 ✓	9	15	3

CONFIRMATION NO. 9805

Patrick L. Mixon  
 Snell & Wilmer L.L.P.  
 One Arizona Center  
 400 East Van Buren  
 Phoenix, AZ 85004-2202

## FILING RECEIPT



\*OC000000013361026\*

Date Mailed: 07/28/2004

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Michael J. Berardi, Ft. Lauderdale, FL; ✓  
 Michal Bliman, Matawan, NJ; ✓  
 David S. Bonalle, New Rochelle, NY; ✓  
 Peter D. Saunders, Salt Lake City, UT; ✓

## Assignment For Published Patent Application

American Express Travel Related Systems Company, Inc.;

## Domestic Priority data as claimed by applicant

This application is a CIP of 10/192,488 07/09/2002 ✓  
 which claims benefit of 60/304,216 07/10/2001 ✓

10/340,352 01/10/03  
 60/396,577 07/16/02

## Foreign Applications

If Required, Foreign Filing License Granted: 07/27/2004

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

*Noted for receipt Correction due 8-12-04*

**Title**

System and method for encoding information in magnetic stripe format for use in radio frequency identification transactions ✓

**Preliminary Class**

340

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Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

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